

Form PTO-1449 (modified)List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

ATTN: DOCKET NO: 5681-15200



SERIAL NO: 10/618,810

APPLICANT: Kumar et al.

FILING DATE: July 14, 2003

GROUP: 2186

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	6,421,688	7/16/02	Song			
	A2	5,504,899	4/2/96	Raz			
	A3	5,504,900	4/2/96	Raz			
	A4	5,701,480	12/23/97	Raz			
	A5	6,442,618	8/27/02	Phillips et al.			
	A6	6,038,587	3/14/00	Phillips et al.			
	A7	200 3 /0088413	5/6/04	Bhogi et al.			
	A8	2004/0215473	10/28/04	Bhogi et al.			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

A9	Liu et al., "Designing a Test Suite for Empirically-based Middleware Performance Prediction," Australian Computer Society, Inc., (2002), (8 Pages)
A10	"Java™ 2SDK, Enterprise Edition 1.3.1 Configuration Guide," http://java.sun.com/j2ee/sdk_1.3/techdocs/release/ConfigGuide.html , January 3, 2002, (16 Pages)
A11	Ellis et al., "JDBC™ 3.0 Specification – Final Release," Sun Microsystems, Inc., October 2001, (190 pages)
A12	"iPlanet Application Server 6.0 Administration Guide: Chapter 4 Logging Server Messages," http://docs.sun.com/source/816-5720-10/adlog.htm , September 5, 2000, (21 Pages)
A13	"JDBC™ Data Access API – The JDBC API Universal Data Access for the Enterprise," java.sun.com, August 10, 2003, (5 Pages)
A14	"Connection Pooling," Advanced Programming for the Java 2 Platform, August 16, 2002, (4 Pages)
A15	Siva Visveswaram, "Manage Access to Shared, Server-Side Resources for High Performance - Dive Into Connection Pooling with J2EE," JavaWorld, October 2000, (8 Pages)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Information Disclosure Statement--PTO 1449 (modified)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JDW/

Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DOCKET NO: 5681-15200		SERIAL NO: 10/618,810		
			ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JDW/				
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EXAM. INITIAL §	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A16	2005/0055325	3/10/05	Dutt et al.			
	A17	2005/0066155	3/24/05	Dutt et al.			
	A18	2005/0015425	1/20/05	Kumar et al.			
	A19	2004/0153450	8/5/04	Venugopal Rao K. et al			
	A20	2004/0153383	8/5/04	Venugopal Rao K. et al			
	A21	2004/0153349	8/5/04	Venugopal Rao K. et al			
	A22	2004/0215894	8/5/04 10/2004	Bhogi et al.			
	A23	2004/0216109	10/28/04	Bhogi et al.			
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	A24	"Interface DataSource," DataSource (Java 2 Platform, SE v1.4.1), 2002, (1 Page)					
	A25	"Initial Context," JNDI 1.2.1 Specification: Class Initial Context, http://java.sun.com/products/jndi/1.2/javadoc/javax/naming/InitialContext.html , July 14, 1999, (1 Page)					
	A26	"JNDI - Java™ Naming & Directory Interface™", Sun Microsystems, Inc., http://java.sun.com/jndi , May 15, 2003, (2 Pages)					
	A27	"iPlanet Application Server 6.0 Administration Guide: Chapter 9 Administering Transactions," http://docs.sun.com/source/816-5784-10/adtrans.htm , September 5, 2000, (11 Pages)					
	A28	Breitbart et al., "Replication and Consistency Being Lazy Helps Sometimes," PODS '97, June 1997, (Pages 173-184)					
	A29	Patricia Serrano-Alvarado et al. "Mobile Transaction Supports for DBMS". In 17ièmes Journées Bases de Données Avancées, Agadir, Maroc, October 2001, (19 Pages)					
	A30	Efficient and Flexible Methods for Transient Versioning of Records to Avoid Locking by Read-Only Transactions," 1992 ACM SIGMOD, June 1992, (Pages 124-133)					
EXAMINER: /Joseph D Wong/			DATE CONSIDERED: 09/29/2008				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.							
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Receipt date: 09/07/2007

10618810 - GAU: 2166

PTO/SB/08a (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10618810
	Filing Date		2003-07-14
	First Named Inventor	Ajay Kumar	
	Art Unit	2186	2166
	Examiner Name	Unknown J. Wong	
	Attorney Docket Number		5681-15200

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
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	3	6704737		2004-03-09	Nixon, et al.	
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	7	7134008		2006-11-07	Dutt, et al.	
	8	7165061		2007-01-16	K, et al.	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10618810	10618810 - GAU: 2166
Filing Date	2003-07-14	
First Named Inventor	Ajay Kumar	
Art Unit	2188	2166
Examiner Name	Unknown J. Wong	
Attorney Docket Number	5681-15200	

9	6411956	B1	06-2002	Ng, Tony	
10	6950848	B1	09-2005	Yousefizadeh	
11	5983326	A	11-1999	Hagersten, et al.	
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15	5983225	A	11-1998 ⁹	Anfindsen, Ole	9/5/98
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U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20030036919	A1	03-2003	Felt, et al.	